Scalable Formal Verification of Cyber-Physical Systems

Parasara <u>Sridhar</u> Duggirala



Ground Collision Avoidance System

SULLY2 HUD BFM-9 5 May 16

What Happened?



Life saved because of software!

Cyber-Physical Systems Are Everywhere!













Sometimes, CPS have bugs

BUSINESS DAY

Self-Driving Tesla Was Involved in Fatal Crash, U.S. Says

IN SEL VLANE and Muld. I. HOUDETTS. ADVENTION.



THE VERGE TECH - SCIENCE - CUITURE - CARS - REVIEWS - LONGFORM VIDEO MORE - 🥂 🕊 🔊

PRODUCE A LAW / HE & MARLE / TRANSPORTATION /

Uber suspended from autonomous vehicle testing in Arizona following fatal crash

Arizona governor calls Uber crash an 'unquestionable failure' Ily Nex Statt | Bristotett | Mer 26, 2010, 9 Clam EGT

BUSINESS DAY

Tesla Says Crashed Vehicle Had Been on Autopilot Before Fatal Accident

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California's Autonomous Car Reports Are The Best In The Country—But Nowhere Near Good Enough



Ivan Felton NOV18 10:29am + Filed to: GENERAL MOTORS -

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	24.1.2
10.HK	-3 V

Disengagement rates 0.16 – 0.78 for 1000 miles

Uber self driving car running red light. <u>https://www.youtube.com/watch?v=_CdJ40ae8f4</u>

- □ Toyota recalls of Prius vehicles (> 20M).
- □ Software failures in medical devices (approx. 25%)
- □ Northeast power grid blackouts.



a disastrons consequence of events in Chicago, an Amazon dress carrying delivery package to a prime account holder accidentally on a pedestrian. The pedestrian

accused Amazon saying that the company deliberately did this because he concelled his



added.

time account recently. Joff Beaus, CED of Amoren said that it was purely an accident caused by a bug in the drone software. tavo hem subjected to a lo I colligious recently. The cofficient second to e written by underpaid and ownworked interna without aerices code niviews. Also, no one aport from the poor graduate modests who developed the justial drones, so or secret to understand what is going on the these decrets. Parsears Seidhar a pindante itadent in 2015 antioerd that this situation could have been revented had he been offened mough to parse research on safety nechnisms in sivie droses Albaha, another online pokesporson tematked "halohahahahaha". He "see what happens when you allow DOREMENTS RD do vilateves the ward. It was a stapid idea to let companies

sportate drones is civic spaces in first place let alone without less regulations and preffication mechanisms for three droners in place." He remarked First lets stop Amazon from learning more people, and then **Smart-Grid** plays

dumb, causes 48 hours national blackout

By TECHNOLOGY CYNIC

Google Car Claims 100 Live

Hoomsday

In a shocking incident in Menio Park, CA. a Google car claimed the lives of individuals. Sources 100

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Tuesday, April 1, 2025

What if software goes **Really** Wrong?

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Cyber-Physical Systems Are Everywhere!

My Research Goal

Develop Principles, Algorithms, and Tools for Design, Analysis, and Verification of CPS





Why is CPS Verification Hard?







Controls &(vs?) Computer Science

Old School



New School



Controls &(vs?) Computer Science

Old School



Continuous domain



Based on calculus

$$\dot{x} = f(x, u)$$

New School



Discrete domain



Based on Logic $((a \land \neg b) \Rightarrow c) \lor (d \land e)$



Continuous domain



Based on calculus

$$\dot{x} = f(x, u)$$

Discrete domain



Based on Logic $((a \land \neg b) \Rightarrow c) \lor (d \land e)$





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Challenges in practice

- CPS that keep track of time: verification problem is **PSPACE Complete**
- CPS that have simple discontinuity: verification problem is **Undecidable**

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- If the dynamics is given as "nice" differential equation $\dot{x} = Ax$ the solution for ODE is given as e^{At} where $e^{At} = I + At + \frac{1}{2!}(At)^2 + \cdots$.
- Scalability 50 dimensions (before my work).

Challenges in practice

- CPS that keep track of time: verification problem is **PSPACE Complete**
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- If the dynamics is given as "nice" differential equation $\dot{x} = Ax$ the solution for ODE is given as e^{At} where $e^{At} = I + At + \frac{1}{2!}(At)^2 + \cdots$.
- Scalability 50 dimensions (before my work).
- For nonlinear systems? Phew! The closed form solution does not exist!
- Scalability is just 7-8 dims (for general cases).

What Real Systems Look Like?

- Nonlinear
- Complex software
- Distributed
- Heterogenuous time scales
- Uncertainities
- Failures



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Formal Verification of industrial CPS?



ctober 2018

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Hallelujah!



Formal Verification 101



Formal Verification 101



Outline

✓ Motivation

Research Overview

 Scalable Verification of Linear Control Systems

Future Work

Brief Summary of Past & Ongoing Projects

C2E2: A Tool For Verifying CPS Models with Nonlinear Dynamics





D, Mitra, Viswanathan EMSOFT'13 D, Mitra, Viswanathan, Potok, TACAS'15. Fan, Potok, Mitra, Viswanathan , D CAV'16. GaTech - October 2018

Zhenqi et.al. [CAV'14] Fan et.al. [EMSOFT'16]

Safety Verification Problem

- Problem statement: Given dynamics $\dot{x} = f(x)$, initial set Θ , unsafe set U, and time bound T, are all trajectories $\xi(x, t)$ starting from Θ , safe?
- Tool that is useful: Discrepancy function.
- $\langle K, \gamma \rangle$ is called an exponential discrepancy function of the system if for any two states x_1 and $x_2 \in X$, for any t $|\xi(x_1, t) \xi(x_2, t)| \le K|x_1 x_2|e^{\gamma t}$



Soundness and Relative Completeness Results

Always performing a sound analysis :

$$|x_1(t) - x_2(t)| \le \beta(|x_1 - x_2|, t)$$

• Improving the partitioning improves the approximation $\beta(|x_1 - x_2|, t) \rightarrow 0$ as $|x_1 - x_2| \rightarrow 0$

<u>Theorem[Soundness]</u>: Given any HA *A*, with an initial set Θ , and unsafe set *U*, if the algorithm terminates and returns **safe (unsafe)** then the system is indeed **safe (unsafe)**

<u>Theorem[Relative Completeness]</u>: Given any HA A, with an initial set Θ , and unsafe set U, if the system is robustly safe (unsafe) then the algorithm will terminates and return the correct answer

C2E2: A Tool For Verifying CPS Models











Powertrain Control Systems

- Fuel control and transmission subsystem
 - Software control: increasing complexity (100M LOC)
 - Constraints: Emissions, Efficiency, etc.
 - Strict performance requirements
 - Early bug detection using formal methods



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 - Early bug detection using formal methods



- Powertrain control benchmarks from Toyota Jin et.al. [HSCC'14]
- Complexity "*similar*" to industrial systems
- Benchmark tool/challenge problems for academic research
 - D, Fan, Mitra, Viswanathan CAV 2015 Fan, D, Mitra, Viswanathan ARCH 2015

<u>Challenge Problem: Verifying one of the models in</u> <u>the powertrain control benchmark</u>

Verifying Powertrain Control System (Challenges)





Mix of discrete and continuous behaviors.



- Mix of discrete and continuous behaviors.
- Nonlinear Ordinary Diff. Eqns. scalability problems

Powertrain Verification Results

Verified many key specification for a given set of driver behaviors

Property	Mode	Sat	Sim.	Time
$\Box \ \lambda \in [0.8\lambda_{ref}, 1.2\lambda_{ref}]$	all modes	Yes	53	11m58s
$\Box \ \lambda \in [0.8\lambda_{ref}, 1.2\lambda_{ref}]$	startup	Yes	50	10m21s
$\Box \ \lambda \in [0.8\lambda_{ref}, 1.2\lambda_{ref}]$	normal	Yes	50	10m21s
$\Box \ \lambda \in [0.8\lambda_{ref}^{pwr}, 1.2\lambda_{ref}^{pwr}]$	power	Yes	53	11m12s
$\Box \lambda \in [0.8 \lambda'_{ref}, 1.2 \lambda'_{ref}]$	power	No	4	0m43s
$rise \Rightarrow \Box_{(\eta,\xi)} \lambda \in [0.98 \lambda_{ref}, 1.02 \lambda_{ref}]$	normal	Yes	50	10m15s
$(l = pwr) \Rightarrow \Box_{(\eta,\xi)} \lambda \in [0.95 \lambda_{ref}, 1.05 \lambda_{ref}]$	power	Yes	53	11m35s
$(l = pwr) \Rightarrow \Box_{(\eta/2,\xi)} \lambda \in [0.95 \lambda_{ref}, 1.05 \lambda_{ref}]$	power	No	4	0m45s

Won the 'Best Paper Award' at ARCH@CPSWeek 2015
Autonomous Vehicle Racing





F Autonomous Vehicles Racing Competition

CPSWeek (April 2018) – Placed 2nd. ESWeek (October 2018)– Placed 5th.



Embedding Trajectories into Lower Dimensional Spaces



- Trajectories of CPS are difficult to analyze because of 2 reasons.
 - 1. The state space itself is high-dimensional.
 - 2. Trajectories (functions of time) are infinite-dimensional artifacts.

D, Sheehy CCCG'18



- Trajectories of CPS are difficult to analyze because of 2 reasons.
 - 1. The state space itself is high-dimensional.
 - 2. Trajectories (functions of time) are infinite-dimensional artifacts.
- How to reduce dimensionality and think of trajectories as points.
- Properties of embeddings (Lipschitz).
- Efficiency?

D, Sheehy CCCG'18

Scalable Verification of Linear Control Systems

D, Viswanathan CAV'16 Bak, D TACAS'17 Bak, D CAV'17 Bak, D ARCH@CPSWeek'17





Dynamics of the system $\dot{s} = m = m$

$$\begin{split} \dot{s} &= v_f - v; \\ \dot{v} &= a - k_{aero}v; \\ \dot{a} &= u; \\ k_{aero} \text{ is the air-drag} \end{split}$$

follower

leader







Test scenario



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Bad scenario?

Safety Verification Problem

Given a Linear System $\dot{x} = Ax$, with initial set Θ and unsafe set U, are all the behaviors starting from Θ for bounded time T_b are safe?



System: $\dot{x} = Ax$, initial set Θ (polyhedra), unsafe set U.



System: $\dot{x} = Ax$, initial set Θ (polyhedra), unsafe set U.



 $\xi(x_0,t) = e^{At} x_0$

Procedure to compute reachable set1. Represent the set Θ using data structure

Data structure SpaceEx – Support Functions CORA – Zonotopes Flow* – Taylor Models

System: $\dot{x} = Ax$, initial set Θ (polyhedra), unsafe set U.



 $\xi(x_0,t) = e^{At} x_0$

Procedure to compute reachable set

- 1. Represent the set Θ using data structure
- 2. Select a time interval *h*.
- 3. Compute $Post(\Theta, h)$ for [0, h]

Data structure SpaceEx – Support Functions CORA – Zonotopes Flow* – Taylor Models

System: $\dot{x} = Ax$, initial set Θ (polyhedra), unsafe set U.



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$$\xi(x_0,t) = e^{At} x_0$$

Procedure to compute reachable set

- 1. Represent the set Θ using data structure
- 2. Select a time interval *h*.
- 3. Compute $Post(\Theta, h)$ for [0, h]
- 4. Iterate for future intervals.

Drawbacks

- 1. Representation cost grows with **n**
- 2. Cannot be directly applied for time varying linear systems
- 3. When set changes, entire computation needs to be done

Background

Setup:

- Initial set: $Hx \leq g$ (bounded polyhedron).
- Unsafe set: $Qx \leq r$ (conjunction of half-spaces).
- Initial attempts (2002): Uses vertices of polyhedral $O(2^n)$.
- Second attempt (2008): Uses support functions $O(k \times n^2)$
- [D., Viswanathan] (2015): Uses sparse matrix multiplication.

Main Insight

Dynamics $\dot{x} = Ax$ has nice properties.

Why not develop representations that leverage these properties!











- Generalized star is represented as $\langle c, V, P \rangle$
- c center, V set of vectors, P predicate.



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- c center, V set of vectors, P predicate.

$$\langle c, V, P \rangle = \{ x \mid \exists \overline{\alpha} = (\alpha_1, \dots, \alpha_n), c + \Sigma_i \alpha_i v_i = x, P(\overline{\alpha}) = \mathsf{T} \}$$

$$P(\langle \alpha_1, \alpha_2 \rangle) \triangleq$$

$$P(\langle \alpha_1, \alpha_2 \rangle) \triangleq$$

$$1.5 \cdot sqrt(((abs(abs(x)-1)) \cdot \frac{abs(3-abs(x))}{(abs(x)-1) \cdot (3-abs(x))}) \cdot (1 + \frac{abs(abs(x-3))}{abs(x)-3}) \cdot sqrt(1 - (\frac{x}{7})^2) + (4.5 + 0.75 \cdot (abs(x-0.5) + abs(x+0.5)) - 2.75 \cdot (abs(x-0.75) + abs(x+0.75))) \cdot (1 + \frac{abs(1-abs(x))}{1-abs(x)}) + (3 + sqrt(1 - (\frac{x}{7})^2) + sqrt(\frac{abs(abs(x)-4)}{abs(x)-4}) - abs(\frac{x}{2}) - 0.913722 \cdot x^2 - 3 + sqrt(1 - (abs(abs(x)-2) - 1)^2) + (2.71052 + 1.5 - 0.5 + abs(x) - 1.35526 + sqrt(4 - (abs(x) - 1)^2)) \cdot sqrt(abs(abs(x) - 1)/(abs(x) - 1)) + (3 - abs(x) - 1)/(abs(x) - 1)) + (3 - abs(x) - 1)/(abs(x) - 1))$$

Technique: Basic Idea

• Given initial set $\Theta = \langle c, V, P \rangle$, the **Reach** is computed not as new predicate, but is done by changing the *center* and the *basis* vectors.



D, Viswanathan CAV'16

Technique Representation + Superposition Given $\Theta \triangleq (c, V, P)$ to compute reachable set



Technique Representation + Superposition

Given $\Theta \triangleq \langle c, V, P \rangle$ to compute reachable set

1. Simulate from *c*

2. Simulate from $c + v_i$ for each *i*



Technique Representation + Superposition

Given $\Theta \triangleq \langle c, V, P \rangle$ to compute reachable set

1. Simulate from *c*

2. Simulate from $c + v_i$ for each *i*



Reachable set at time t is given by $\langle c', V', P \rangle$ where

- 1. c' is the simulation corresponding to c
- 2. v_i' is the difference of simulations from $c + v_i$ and from c

Technique Representation + Superposition $p \Theta = \frac{1}{2} (C V P)$ to compute reachable set

Given $\Theta \triangleq \langle c, V, P \rangle$ to compute reachable set

- 1. Simulate from *c*
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TechniqueRepresentation + SuperpositionGiven $\Theta \triangleq \langle c, V, P \rangle$ to compute reachable set1. Simulate from c2. Simulate from $c + v_i$ for each i



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Technique Representation + Superposition

Given $\Theta \triangleq \langle c, V, P \rangle$ to compute reachable set

1. Simulate from *c*

2. Simulate from $c + v_i$ for each *i*




Demo

Extensions

Accommodate mode switches.

- Developed new invariant constraint propagation technique.
- Dynamic aggregation and deaggregation methods.
- Handle Linear systems with inputs/disturbances.

Experimental Evaluation HyLAA

Scalability with respect to number of dimensions.





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Running HyLAA on High Dimensional Benchmarks

- Motor (11 dims)
- Building (50 dims)
- Partial Differential Equation (86 dims)
- Heat (202 dims)
- International Space Station (274 dims)
- Clamped Beam (350 dims)
- MNA1 (588 dims)
- FOM (1008 dims)
- MNA5 (10923 dims)

Won the 'Best Paper Award' at ARCH@CPSWeek 2017



HyLAA Aggregation and Deaggregation



- Expensive to not have any aggregation.
- Completely aggregated introduces new transitions and doesn't terminate.

 Dynamic deaggregation has 1.2x – 5x speedup based on the system.

http://stanleybak.com/hylaa/ 78

HyLAA Aggregation and Deaggregation

# Dims	10	12	14	16	18	20	24	30	42
Deaggregated	25.70	44.94	24.71	131.82	47.72	267.71	450.42	331.57	516.21
Unaggregated	112.94	79.24	98.63	145.87	214.80	409.55	561.47	384.55	672.60

- Automotive drivetrain system with additional masses $(8 + 2\theta)$.
- In lower dimensions, the synchronous behavior of masses gives a better performance for aggregation.
- In higher dimensions, the benefits of aggregation are low because deaggregation is performed more often.



International Space Station Model (271 dimensions)

ISS	271	$y_3 \notin$	[-0.0007, 0.0007]	Hylaa	1m28s	~	-	-
ISS*	271	$y_3 \notin$	[-0.0005, 0.0005]	Hylaa	1m23s	•010•010•	$8.5{\cdot}10^{-6}/1.3{\cdot}10^{-5}$	13.71

• The original safety specification was created using simulations. For most models it was safe.

For the International Space Station model, however, it was not! This shows that simulation can miss errors.
The error was not known before analysis with Hylaa.

Reachability Plot



Space Station Specification Violation



• $2^{270} \times 8^{(13.71/0.005)} = 3 \times 10^{2557}$ cases!

Falsification tool did not succeed after 4 hours.

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Counterexample Generation

Control parameter tuning for regulation.



- Any execution that crosses the threshold is not useful.
- Executions that go "maximum" beyond the threshold are more important than others.
- Executions that stay longer above threshold are also important.

Longest and Deepest Counterexamples

- **Deepest counterexample**: execution that ventures into unsafe set resulting in a maximum "depth".
- Longest counterexample: execution that stays for longest in unsafe set contiguously.
- Using constraint propagation, developed a new technique that generates these two counterexamples.



Future Work

What Real Systems Look Like?

- Nonlinear
- Complex software
- Distributed
- Heterogenuous time scales
- Uncertainities
- Failures



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Who Gives The Specification?

- For each component?
- In a temporal logic?
- Absolutely unrealistic!





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IN REL MARE and MALL & HOUSEPPE ANE 35 YES.



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- □ Software failures in medical devices (approx. 25%)
- □ Northeast power grid blackouts.

An Enabling Technology



An Enabling Technology











Layer – II (say software implementation)



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A Layered Approach For End-To-End Verification of Autonomous Vehicles



Noisy environment

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Let's Hope For a Day Where Autonomous Vehicles and Humans Coexist Peacefully



Thank You

- Developed algorithms for verification of nonlinear systems.
- Scalable linear systems verification.

Future work

- Verification without specification
- Certification of autonomous vehicles



Questions?

Backup Slides

1. The discrete time reachable set doesn't change the predicate associated with the star.



1. The discrete time reachable set doesn't change the predicate associated with the star.



To compute reachable set of a new initial set, just changing the predicate suffices!

2. It is easy to aggregate and de-aggregate sets on-the-fly.



$$\Theta_2 = \langle c, V, P_2 \rangle$$

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$$\Theta_2 = \langle c, V, P_2 \rangle$$

Handling Invariants and Discrete Transitions

The Problems With Invariants

Given Θ₁, Θ₂, ..., Θ_k as discrete time reachable sets for a given mode, performing just Θ_i ∩ *Inv* only gives an overapproximation.



The Problems With Invariants

Given Θ₁, Θ₂, ..., Θ_k as discrete time reachable sets for a given mode, performing just Θ_i ∩ *Inv* only gives an overapproximation.



Forward Constraint Propagation

- 1. Convert *Inv* into the center and basis of i^{th} star as $\langle c_i, V_i, Q_i \rangle$.
- 2. $\Theta \cap Inv = \langle c_i, V_i, P \land Q_i \rangle$


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- 3. These should originate from $\langle c, V, P \land Q_i \rangle$ in Θ



Invariant Constraint Propagation

- 1. Compute reachable sets $\Theta_1, \Theta_2, \dots, \Theta_k$.
- 2. Convert *Inv* into star representation of Θ_i as $\langle c_1, V_1, Q_1 \rangle, \langle c_2, V_2, Q_2 \rangle, \dots, \langle c_k, V_k, Q_k \rangle$
- 3. Add constraint Q_i to the predicate of $\Theta_i, \Theta_{i+1}, \dots, \Theta_k$.

Invariant Constraint Propagation

1. Compute reachable sets $\Theta_1, \Theta_2, \dots, \Theta_k$.

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Isn't this expensive?

Invariant Constraint Propagation

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3. Add constraint Q_i to the predicate of $\Theta_i, \Theta_{i+1}, \dots, \Theta_k$

No. of constraints increase linearly with time?

Isn't this expensive?

Optimizations

- 1. If $\Theta_i \subseteq Inv$, then $P \land Q_i \equiv P$. Hence, no constraint is added.
- 2. If $\Theta_i \subseteq Inv^c$, then $P \land Q_i \equiv \bot$. Hence, no need to add Q_i .

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- 2. If $\Theta_i \subseteq Inv^c$, then $P \land Q_i \equiv \bot$. Hence, no need to add Q_i .
- 3. Add a constraint Q_i to $P \land Q_1 \land \dots \land Q_{i-1}$ if and only if $\neg (P \land Q_1 \land \dots \land Q_{i-1} \Rightarrow Q_i)$

Optimizations

- 1. If $\Theta_i \subseteq Inv$, then $P \land Q_i \equiv P$. Hence, no constraint is added.
- 2. If $\Theta_i \subseteq Inv^c$, then $P \land Q_i \equiv \bot$. Hence, no need to add Q_i .
- 3. Add a constraint Q_i to $P \land Q_1 \land \dots \land Q_{i-1}$ if and only if $\neg (P \land Q_1 \land \dots \land Q_{i-1} \Rightarrow Q_i)$
- 4. [Empirical heuristic]: Compare successive constraints Q_i and Q_{i+1} and if Q_{i+1} is stronger than Q_i , replace Q_i with Q_{i+1} .

Discrete Transitions

- Discrete transitions are enabled when the reachable set overlaps with the guard condition.
- If reachable set from Θ overlaps with guard G_i at $\Theta_{i,1}, \Theta_{i,2}, \dots, \Theta_{i,l}$. That is, Θ has l successor sets.
- After m discrete transitions, the number of sets to keep track will be l^m . (exponential blow-up).

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Solution: Aggregation

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Damned if you do! Damned if you don't!

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Model + Real-Time Operating Systems Behavior

Analyzing Real Time Linear Control Systems Using Software Verification



Computational Model

- 1. Control program is a task on RTOS (periodically scheduled).
- 2. Delay between sensing and actuation (computation time).
- 3. Control program may or may not make the deadline.



- 1. Control program is run every T time units.
- 2. It may/may not make the deadline (TWCRT).
- 3. If it makes the deadline, results of computation are given as actuation parameters.
- 4. If it does not make the deadline, computation results are thrown away.

Software Verification Inspired Technique: Outline



Software Verification Tools

Bringing These Two Together

Controller code

